



**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**Hartford-7**
In Re Application Of: **Reiss**
Serial No.  
**10/066,120**

Filing Date  
**1/31/02**

Examiner

Group Art Unit  
**2164**

Invention:

**METHOD AND SYSTEM FOR RECONCILING EQUITY HEDGE FUNDS**TO THE ASSISTANT COMMISSIONER FOR PATENTS:Box Missing Parts☐ Completion of application fees as calculated below:☐ Utility application filing fee \_\_\_\_\_☐ Design application filing fee \_\_\_\_\_☐ Total number of independent claims = \_\_\_\_\_☐ Total number of claims = \_\_\_\_\_☐ Multiple dependent claims \_\_\_\_\_☐ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \_\_\_\_\_☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \_\_\_\_\_

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_  
Date

until: \_\_\_\_\_  
Date

Total time extension fees \_\_\_\_\_

Total fees due \_\_\_\_\_

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**METHOD AND SYSTEM FOR RECONCILING EQUITY HEDGE FUNDS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \_\_\_\_\_ is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 04-1679  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 04-1679  
A duplicate copy of this sheet is enclosed.

*Signature*

**Arthur L. Plevy  
Reg. No. 24,277  
Duane Morris LLP  
100 College Road West, Suite 100  
Princeton, NJ 08540  
609-919-4402**

Dated: **5/28/02**

I certify that this document and fee is being deposited on **5/28/02** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

**Susan Barlett**

*Typed or Printed Name of Person Mailing Correspondence*

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D C 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/066,120	01/31/2002	Paul L. Reiss	Hartford-7

28581  
DUANE MORRIS LLP  
100 COLLEGE ROAD WEST, SUITE 100  
PRINCETON, NJ 08540-6604

CONFIRMATION NO. 3332

FORMALITIES LETTER



\*OC000000007728003\*

Date Mailed: 03/27/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE